



REQUEST FOR FILING A CONTINUATION-IN-PART PATENT APPLICATION  
UNDER 37 CFR 1.53(b)



Attorney Docket No: 62687.000111  
Client Reference No.: D-02005-US

Inventor(s): Gregory G. Cappiello

Title:       DIFFRACTION GRATING FOR WAVELENGTH  
              DIVISION MULTIPLEXING/DEMULTIPLEXING DEVICES

Pending Prior U.S. Patent Application No.: 09/724,803  
Prior Art Unit:   2872  
Prior Examiner:  Alessandro Amari

Mail Stop Patent Applications  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is a request for filing a continuation-in-part patent application under 37 CFR 1.53(b) of pending prior U.S. Patent Application No. 09/724,803, filed on November 28, 2000, entitled DIFFRACTION GRATING FOR WAVELENGTH DIVISION MULTIPLEXING/DEMULTIPLEXING DEVICES, the entire disclosure of which is considered a part of the disclosure of the present patent application and is hereby incorporated herein by reference.

1. [X] 57 pages of specification, claims, and abstract are attached.
2. [X] 14 sheets of drawings are attached.
3. [X] A Combined Declaration is attached.
4. [X] An Assignment Document along with an Assignment Recordation Form Cover Sheet are attached.
5. [ ] A Preliminary Amendment is attached.
6. [X] The present patent application is entitled to small entity status.

7. ☐ A Request and Certification under 35 U.S.C. 122(b)(2) is attached.
8. ☒ The filing fee is calculated below on the basis of the claims existing in the present patent application, as amended at 6 above (if applicable).

	# of Claims		Extra Claims	Rate	Fee
Total Claims	22	Minus 20	2	x \$18.00	\$ 36.00
Independent Claims	6	Minus 3	3	x \$86.00	\$ 258.00
Basic Application Fee					\$ 770.00
If multiple dependent claims are present, add \$280.00					\$ 0.00
Subtotal					\$ 1100.00
Subtract ½ if Small Entity					\$ 550.00
Total Application Fee					\$ 550.00
Assignment Recordation Fee					\$ 40.00
TOTAL FEE DUE					\$ 590.00

9. ☐ Please charge Deposit Account No. 50-0206 in the amount of \$ .00 for the above-indicated fees. A duplicate copy of this transmittal is submitted herewith.
10. ☒ The Commissioner is hereby authorized to charge any shortage in fees under 37 CFR 1.16 and 1.17 associated with the filing of the present patent application, including any extension of time fees to maintain the pendency of prior U.S. Patent Application No. 09/724,803, or credit any overpayment, to Deposit Account No. 50-0206. A duplicate copy of this transmittal is submitted herewith.
11. ☒ The Commissioner is hereby authorized to charge any shortage in fees under 37 CFR 1.16 and 1.17 which are required during the pendency of the present patent

application, or credit any overpayment, to Deposit Account No. 50-0206. This authorization does not include any issue fees under 37 CFR 1.18. A duplicate copy of this transmittal is submitted herewith.

12.[ ] Priority of foreign Application No. \_\_\_\_\_, filed on \_\_\_\_\_, in \_\_\_\_\_, is claimed under 35 USC 119. The certified priority document(s) were filed in U.S. Patent Application No. \_\_\_\_\_, filed on \_\_\_\_\_.

13.[X] Address all future communications to:

Thomas E. Anderson  
Hunton & Williams LLP  
1900 K Street, N.W.  
Washington, D.C. 20006-1109

14.[X] A return receipt postcard is submitted herewith.

15.[X] Also attached: Check in the amount of \$590.00.

It is understood that secrecy under 35 USC 122 is hereby waived to the extent that if information or access is available under 37 CFR 1.14 to any one of the applications in the file wrapper of a 37 CFR 1.53(b) application, be it either this application or a prior application in the same file wrapper, the Patent and Trademark Office may provide similar information or access to all the other applications in the same file wrapper.

Respectfully submitted,

Hunton & Williams LLP

By: 

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TEA/vrp

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Date: February 5, 2004